

Rule No. 560-X-40-.01 Definitions

(1) Optional Targeted Case Management (TCM) Services - those services to mentally ill adults (Target Group 1), intellectually disabled adults (Target Group 2), disabled children (Target Group 3), foster children (Target Group 4), pregnant women (Target Group 5), AIDS/HIV-positive individuals (Target Group 6), adult protective service individuals (Target Group 7), individuals who meet the eligibility criteria for the Home and Community Based Services (HCBS) Technology Assisted Waiver for Adults (TAW) (Target Group 8), individuals who meet the eligibility criteria for substance use disorders (Target Group 9), and individuals who meet the criteria for Disabled Children and Severely Mentally Ill (SMI) Adults High Intensity Care Coordination (Target Group 10), paid for by the Alabama Medicaid Agency to assist Medicaid-eligible persons in gaining access to needed medical, social, educational, and other services.

(2) Case Management Services Target Group 1 - Mentally Ill Adults - the population to be served consists of functionally limited individuals age 18 and over with multiple needs who have been assessed by a qualified professional and have been found to require mental health case management. Such persons have a diagnosis included in the ICD-10 as appropriate to date of service (other than intellectual/developmental disabilities, autism spectrum disorder, organic mental disorder, traumatic brain injury or substance abuse), impaired role functioning, and a documented lack of capacity for independently accessing and sustaining involvement with needed services.

(3) Individual Case Managers for Mentally Ill Adults - professionals meeting the following qualifications:

(a) At a minimum, Bachelor of Arts or a Bachelor of Science degree, preferably in a human services related field, or

(b) A registered nurse, and

(c) Training in case management curriculum provided or approved by the Alabama Department of Mental Health (ADMH) and the Alabama Medicaid Agency.

(4) Case Management Providers for Mentally Ill Adults - Regional Boards incorporated under Act 310 of the 1967 Alabama Acts and Comprehensive Community Mental Health Centers who have demonstrated ability to provide targeted case management services, directly, or ~~the Alabama Department of Mental Health (ADMH)~~. Providers must be certified by and provide services through a contract with ~~the Alabama Department of Mental Health~~ ADMH.

(5) Case Management Services Target Group 2 - Intellectually Disabled Adults - the population to be served consists of individuals with a diagnosis of intellectual disability, as defined by the American Association of Intellectually Disabled (formerly AAMD) who are 18 years of age or older. Diagnosis must be determined by a Qualified Intellectually Disabled Professional (QIDP) and must include a primary determination of both intellectual and adaptive behaviors indicating the individual's primary problems are due to intellectual disability. Such persons may have other or secondary disabling conditions.

(6) Individual Case Managers for Intellectually Disabled Adults - professionals meeting the following qualifications:

- (a) At a minimum, Bachelor of Arts or Bachelor of Science degree, or
- (b) A registered nurse, and
- (c) Training in case management curriculum approved by the Alabama Medicaid Agency.

(7) ADMH Case Management Providers for Intellectually Disabled Adults, will be -- Regional Boards incorporated under Act 310 of the 1967 Alabama Acts who have demonstrated ability to provide targeted case management services directly, be ADMH employees, or other contractors of ADMH, or the Alabama Department of Mental Health. Providers must be certified by the Department of Mental Health.

(8) Case Management Services Target Group 3 Disabled Children - the population to be served consists of individuals age 0-20 considered to be disabled as defined in the following six subgroups:

(a) Intellectually Disabled/related conditions: (Individuals in this subgroup will be age 0-17.)

1. Intellectually Disabled - diagnosis must be determined and must include a primary determination of both intellectual and adaptive behaviors indicating the individual's primary problems are due to intellectual disability.

2. Related conditions - individuals who have a severe chronic disability that meets all of the following

(i) It is attributable to:

(I) Cerebral palsy or epilepsy; or

(II) Any other condition, other than mental illness, found to be closely related to intellectual disability because this condition results in impairment of general intellectual functioning or adaptive behavior similar to that of intellectually disabled persons, and requires treatment or services similar to those required for these persons.

(ii) It is manifested before the person reaches age 22.

(iii) It is likely to continue indefinitely.

(iv) It results in substantial functional limitations in three or more of the following areas of major life activity.

(I) Self-care,

(II) Understanding and use of language,

(III) Learning,

(IV) Mobility,

(V) Self-direction,

(VI) Capacity for independent living.

(b) Seriously emotionally disturbed - In order to meet the definition of seriously emotionally disturbed, the recipient must meet the following criteria for (1 & 2) *or* (1 & 3):

1. Diagnosis:

(i) Must have a DSM/ICD diagnosis. A primary diagnosis of a "Z" code, substance use, autism spectrum disorder, developmental/intellectual disability, organic mental disorder, or traumatic brain injury does not meet the criteria.

2. Jeopardy of being separated from Family (Out-of-Home Placement):

(i) Still residing in the community but in jeopardy of being separated from family as the result of a serious emotional disturbance.

3. Functional Impairments/Symptoms/Risk of Separation – Must have a. or b. or c. as the result of a serious emotional disturbance:

(i) Functional Impairment – Must have substantial impairment in one of the following capacities to function (corresponding to expected developmental level):

(I) Autonomous Functioning: Performance of the age appropriate activities of daily living, e.g., personal hygiene, grooming, mobility;

(II) Functioning in the community – e.g., relationships with neighbors, involvement in recreational activities;

(III) Functioning in the Family or Family Equivalent – e.g., relationships with parents/parent surrogates, siblings, relatives;

(IV) Functioning in School/work – e.g., relationships with peers/teachers/co-workers, adequate completion of school-work.

(ii) Symptoms – Must have one of the following:

(I) Features associated with Psychotic Disorders

(II) Suicidal or Homicidal Gesture or Ideation

(iii) Risk of Separation:

(I) Without treatment, there is imminent risk of separation from the family/family equivalent or placement in a more restrictive treatment setting.

(c) Sensory impaired:

1. Blind - One who after the best possible correction has no usable vision; therefore, must rely upon tactile and auditory senses to obtain information.

2. Partially sighted - One who has a visual acuity of 20/70 or less in the better eye with the best possible correction, has a peripheral field so restricted that it affects the child's ability to learn, or has a progressive loss of vision which may in the future affect the child's ability to learn.

3. Deaf - A hearing impairment which is so severe that the child is impaired in processing linguistic information through hearing, with or without amplification which adversely affects educational performance.

4. Blind disabled - One who has a visual impairment (as defined in (c) 1. and (c) 2. above) and a concomitant handicapping condition.

5. Deaf disabled - One who has a hearing impairment (as defined in (c) 3. above) and a concomitant handicapping condition.

6. Deaf-blind - One who has concomitant hearing and visual impairments, the combination of sensory impairments causing such severe communication and other

developmental and educational problems that they cannot be properly accommodated in the educational programs by the Alabama School for the Blind or the Alabama School for the Deaf.

(d) Disabling health condition(s) - One which is severe, chronic and physical in nature, requiring extensive medical and habilitative/rehabilitative services:

1. Central nervous system dysraphic states, (such as spina bifida, hydranencephaly, encephalocele);
2. Cranio-facial anomalies, (such as cleft lip and palate, Apert's syndrome, Crouzon's syndrome);
3. Pulmonary conditions, (such as cystic fibrosis);
4. Neuro-muscular conditions, (such as cerebral palsy, arthrogryposis, juvenile rheumatoid arthritis);
5. Seizure disorders, (such as those poorly responsive to anticonvulsant therapy and those of mixed seizure type);
6. Hematologic/immunologic disorders, (such as hemophilia, sickle cell disease, aplastic anemia, agammaglobulinemia);
7. Heart conditions, (such as aortic coarctation, transposition of the great vessels);
8. Urologic conditions, (such as exstrophy of bladder);
9. Gastrointestinal conditions, (such as Hirschsprung's Disease, omphalocele, gastroschisis);
10. Orthopedic problems, (such as clubfoot, scoliosis, fractures, poliomyelitis);
11. Metabolic disorders, (such as panhypopituitarism);
12. Neoplasms, (such as leukemia, retinoblastoma); and
13. Multisystem genetic disorders, (such as tuberous sclerosis, neurofibromatosis).
14. Autism Spectrum Disorder for a child or youth ages 0 to 21.

(e) Developmentally disabled –

1. A child age birth to three years who is experiencing developmental delays equal to or greater than 25 percent as measured by appropriate diagnostic instruments and procedures in one or more of the following areas:
 - (i) Cognitive development;
 - (ii) Physical development (including vision and hearing);
 - (iii) Language and speech development;
 - (iv) Psychosocial development; and
 - (v) Self-help skills.
2. One who has a diagnosed physical or mental condition which has a high probability of resulting in a development delays.

(f) Disabled - An individual who has a combination of two or more disabling conditions as described above. Each condition, if considered separately, might not be severe enough to warrant case management, but a combination of the conditions would be of such severity to adversely affect development.

(9) Individual Case Managers for Disabled Children - Professionals meeting the following qualifications:

- (a) At a minimum, a Bachelor of Arts or a Bachelor of Science degree, or
- (b) A registered nurse, and
- (c) Training in a case management curriculum approved by the Alabama Medicaid Agency.

(d) ADMH case management provider TCM providers for Disabled Children (Target 3, Subgroup B – SED (Seriously Emotionally Disturbed)) , for ADMH must be Regional Boards incorporated under Act 310 of Comprehensive Community Health Centers the 1967 Alabama Act who have demonstrated the ability to provide targeted case management directly, or be ADMH employees. TCM providers for Disabled Children through ADMH must be certified and provide services through a contract with “ADMH”. Act 310 provides for the formation of a public corporation to contract with ADMH in constructing facilities and operating programs for mental health services. A 310 Board has the authority to directly provide: planning, studies and services for mental illness.

(e) ADMH case management provider for Disabled Children (Target Group 3, Subgroup A- Intellectually Disabled and Target 3, Subgroup D14- Children with Autism Spectrum Disorder) must be Regional Boards incorporated under Act 310 of the 1967 Alabama Act who have demonstrated ability to provide targeted case management services directly, be ADMH employees, or other contractors of ADMH. Act 310 provides for the formation of a public corporation to contract with ADMH in constructing facilities and operating programs for mental health services. A 310 Board has the authority to directly provide: planning, studies and services for mental illness.

(10) Case Management Providers for Disabled Children - Providers must meet the following criteria:

- (a) Demonstrated capacity to provide all core elements of case management:
 - 1. Assessment,
 - 2. Care/services plan development,
 - 3. Linking/coordination of services, and
 - 4. Reassessment/follow-up.
- (b) Demonstrated case management experience in coordinating and linking such community resources as required by the target population.
- (c) Demonstrated experience with the target population.
- (d) An administrative capacity to ensure quality of services in accordance with state and federal requirements.

- (e) A financial management system that provides documentation of services and costs.
- (f) Capacity to document and maintain individual case records in accordance with state and federal requirements.
- (g) Demonstrated ability to assure a referral process consistent with Section 1902a(23), freedom of choice of provider.
- (h) Demonstrated capacity to meet the case management service needs of the target population.

(11) Case Management Target Group 4 - Foster Children (Children in the Care, Custody or Control of the State or Receiving State Agency) - The population to be served consists of children age 0-21 who are receiving preventive, protective, family preservation or family reunification services from the State, or any of its agencies as a result of State intervention or upon application by the child's parent(s), custodian(s), or guardian(s); or children age 0-21 who are in the care, custody or control of the State of Alabama, or any of its agencies due to:

- (a) The judicial or legally sanctioned determination that the child must be protected by the State as dependent, delinquent, or a child in need of supervision as those terms are defined by the Alabama Juvenile Code, Title 12, Chapter 15, Code of Alabama 1975; or

- (b) The judicial determination or statutorily authorized action by the State to protect the child from actual or potential abuse under the Alabama Juvenile Code, Title 26, Chapter 14, Code of Alabama 1975, or other statute; or

- (c) The voluntary placement agreement, voluntary boarding house agreement, or an agreement for foster care, between the State and the child's parent(s), custodian(s), or guardian.

(12) Individual Case Managers for Foster Children - Professionals meeting the following qualifications:

- (a) At a minimum, a Bachelor of Arts or a Bachelor of Science degree, preferably in a human services field, or

- (b) A registered nurse, and

- (c) Training in a case management curriculum approved by the Alabama Medicaid Agency.

(13) Case Management Providers for Foster Children - Providers must meet the following qualifications:

- (a) Demonstrated capacity to provide all core elements of case management:

1. Assessment,
2. Care/services plan development,

3. Linking/coordination of services, and
4. Reassessment/follow-up.

(b) Demonstrated case management experience in coordinating and linking such community resources as required by the target population.

(c) Demonstrated experience with the target population.

(d) An administrative capacity to ensure quality of services in accordance with state and federal requirements.

(e) A financial management system that provides documentation of services and costs.

(f) Capacity to document and maintain individual case records in accordance with state and federal requirements.

(g) Demonstrated ability to assure a referral process consistent with Section 1902a(23), freedom of choice of provider.

(h) Demonstrated capacity to meet the case management service needs of the target population.

(14) Case Management Target Group 5 - Pregnant Women - The population to be served consists of Medicaid-eligible women of any age in need of maternity services.

(15) Individual Case Managers for Pregnant Women - Professionals meeting the following qualifications.

(a) At a minimum, a Bachelor of Arts or a Bachelor of Science degree in social work from a school accredited by the Council on Social Work Education, or

(b) A registered nurse, and

(c) Training in a case management curriculum approved by the Alabama Medicaid Agency.

(16) Case Management Providers for Pregnant Women - Providers must meet the following qualifications:

(a) Demonstrated capacity to provide all core elements of case management:

1. Assessment,
2. Care/services plan development,
3. Linking/coordination of services, and
4. Reassessment/follow-up.

(b) Demonstrated case management experience in coordinating and linking such community resources as required by the target population.

(c) Demonstrated experience with the target population.

(d) An administrative capacity to ensure quality of services in accordance with state and federal requirements.

(e) A financial management system that provides documentation of services and costs.

(f) Capacity to document and maintain individual case records in accordance with state and federal requirements.

(g) Demonstrated ability to assure a referral process consistent with Section 1902a(23), freedom of choice of provider.

(h) Demonstrated capacity to meet the case management service needs of the target population.

(17) Case Management Target Group 6 - AIDS/HIV-Positive Individuals - The population to be served consists of Medicaid-eligible individuals of any age who have been diagnosed as having AIDS or being HIV-positive as evidenced by laboratory findings.

(18) Individual Case Managers for AIDS/HIV-Positive Individuals - Professionals meeting the following qualifications:

(a) At a minimum, a Bachelor of Arts or a Bachelor of Science degree in social work from a school accredited by the Council on Social Work Education, or

(b) A registered nurse, and

(c) Training in a case management curriculum approved by the Alabama Medicaid Agency.

(19) Case Management Providers for AIDS/HIV-Positive Individuals - Providers must meet the following qualifications:

(a) Demonstrated capacity to provide all core elements of case management:

1. Assessment,
2. Care/services plan development,
3. Linking/coordination of services, and
4. Reassessment/follow-up.

(b) Demonstrated case management experience in coordinating and linking such community resources as required by the target population.

- (c) Demonstrated experience with the target population.
- (d) An administrative capacity to ensure quality of services in accordance with state and federal requirements.
- (e) A financial management system that provides documentation of services and costs.
- (f) Capacity to document and maintain individual case records in accordance with state and federal requirements.
- (g) Demonstrated ability to assure a referral process consistent with Section 1902a(23), freedom of choice of provider.
- (h) Demonstrated capacity to meet the case management service needs of the target population.

(20) Case Management Target Group 7 - Adult Protective Service Individuals - The population to be served consists of individuals 18 years of age or older who are:

- (a) At risk of abuse, neglect, or exploitation as defined in Section 38-9-2 Code of Alabama, 1975; or
- (b) At risk of institutionalization due to his/her inability or his/her caretaker's inability to provide the minimum sufficient level of care in his/her own home.

(21) Individual Case Managers for Adult Protective Service Individuals - Professionals meeting the following qualifications:

- (a) At a minimum, a Bachelor of Science degree, preferably in a human services field, or
- (b) Eligible for state social work licensure or exempt from licensure, and
- (c) Training in a case management curriculum approved by the Alabama Medicaid Agency.

(22) Case Management Providers for Adult Protective Service Individuals - Providers must meet the following qualifications:

- (a) Demonstrated capacity to provide all core elements of case management:
 1. Assessment,
 2. Care/services plan development,
 3. Linking/coordination of services, and
 4. Reassessment/follow-up.

(b) Demonstrated case management experience in coordinating and linking such community resources as required by the target population.

(c) Demonstrated experience of at least ten years with the target population in investigating abuse, neglect, and/or exploitation in domestic settings and follow-up services to victims of abuse, neglect, and/or exploitation.

(d) Authorized pursuant to Code of Alabama, 1975, Section 38-9-1 et seq to arrange for protective services for adults.

(e) An administrative capacity to ensure quality of services in accordance with state and federal requirements.

(f) A financial management system that provides documentation of services and costs.

(g) Capacity to document and maintain individual case records in accordance with state and federal requirements.

(h) Demonstrated ability to assure a referral process consistent with Section 1902a(23), freedom of choice of provider.

(i) Demonstrated capacity to meet the case management service needs of the target population.

(23) Case Management Services Target Group 8 – Individuals who meet the eligibility criteria for the Technology Assisted Waiver for Adults.

(24) Individual Case Managers for individuals who meet the eligibility criteria for the HCBS Technology Assisted Waiver for Adults – professionals meeting the following qualifications:

(a) At a minimum, Bachelor of Arts or Bachelor of Science degree, or

(b) A registered nurse, and

(c) Training in case management curriculum approved by the Alabama Medicaid Agency.

(25) Case Management Providers for individuals who meet the eligibility criteria for the HCBS Technology Assisted Waiver for Adults – Providers must meet the following criteria:

(a) Demonstrated capacity to provide all core elements of case management:

1. Assessment,
2. Care/services plan development,
3. Linking/coordination of services, and
4. Reassessment/follow up.

(b) Demonstrated case management experience in coordinating and linking such community resources as required by the target population.

(c) Demonstrated experience with the target population.

(d) An administrative capacity to ensure quality of services in accordance with state and federal requirements.

(e) A financial management system that provides documentation of services and costs.

(f) Capacity to document and maintain individual case records in accordance with state and federal requirements.

(g) Demonstrated ability to assure a referral process consistent with Section 1902a(23), freedom of choice of provider.

(h) Demonstrated capacity to meet the case management service needs of the target population.

(26) Case Management Services Target Group 9 – Individuals who meet the eligibility criteria for Substance Use Disorders – the population to be served consists of Medicaid-eligible individuals who have a diagnosed substance use disorder, in accordance with criteria set forth by the most recent edition of the Diagnostic and Statistical Manual Disorder (DSM) published by the American Psychiatric Association, and who meet the following additional criteria: (a) have been unable to independently maintain a sustained period of recovery after repeated treatment episodes; or (b) have little or no access to community resources necessary to support sustained recovery efforts; or (c) have co-morbid conditions, such as mental illness, emotional disorders, intellectual disabilities, medical conditions, sensory impairments or mobility impairments; or (d) have significant responsibility for the care of dependents, as well as themselves.

(27) Individual Case Managers for Individuals with Substance Use Disorders - professionals meeting the following qualifications:

(a) At a minimum, Bachelor of Arts or a Bachelor of Science degree

(b) A registered nurse, and

(c) Training in case management curriculum approved by the Department of Mental Health and the Alabama Medicaid Agency.

(28) Case Management Providers for Individuals with Substance Use Disorders Providers must be certified by and provide services through a contract with ~~the Alabama Department of Mental Health~~ ADMH.

(29) Case Management Services Target Group 10 — ~~Individuals who meet the criteria for Disabled Children and Severely Mentally Ill SMI Adults, who meet criteria for High Intensity Care Coordination.~~

(a) The population to be served consists of individuals age 0-20 or until the individual reaches age 21 considered to be disabled as defined in the following two subgroups and who require a multi-disciplinary service team from more than one child-serving agency or who have one or more co-occurring diagnoses:

1. Autism Spectrum Disorder (ASD), (a) children/youth requiring a multi-disciplinary service team from more than one child-serving agency or who have one or more co-occurring diagnoses.

2. Seriously Emotionally Disturbed (SED); and in order to meet the definition of seriously emotionally disturbed, the recipient must meet the following criteria for (1 & 2) or (1 & 3).

(i) Diagnosis: (a) must have a DSM/ICD diagnosis. A primary diagnosis of a (Z) code, substance use, autism spectrum disorder, developmental/intellectual disability, organic mental disorder, or traumatic brain injury does not meet the criteria.

(ii) Jeopardy of being Separated from Family (Out-of-Home-Placement): Still residing in the community but in jeopardy of being separated from family as the result of a serious emotional disturbance.

(iii) Functional Impairments/Symptoms/Risk of Separation – must have 1, or 2 or 3 as the result of a serious emotional disturbance:

(I) Functional Impairment – must have substantial impairment in one of the following capacities to function (corresponding to expected developmental level):

i. Autonomous Functioning: Performance of the age appropriate activities of daily living, e.g., personal hygiene, grooming, mobility;

ii. Functioning in the community – e.g., relationships with neighbors, involvement in recreational activities;

iii. Functioning in the Family or Family Equivalent – e.g., relationships with parents/parent surrogates, siblings, relatives;

iv. Functioning in School/work – e.g. relationships with peers/teachers/co-workers, adequate completion of school-work.

(II) Symptoms - Must have one of the following:

i. Features associated with Psychotic Disorders,

ii. Suicidal or Homicidal Gesture or Ideation;

(III) Risk of Separation:

i. Without treatment, there is imminent risk of separation from the family/family equivalent or placement in a more restrictive treatment setting.

(b) The population to be served consists of individuals age 18 and older considered to be disabled as defined in the following subgroup and who require a multi-disciplinary service team from more than one agency or who have one or more co-occurring diagnosis:

1. Severely Mentally Ill (SMI) Adults: The population to be served consists of functionally limited individuals 18 years of age or older with multiple needs who have been assessed by a qualified professional and have been found to require mental health case management. Such persons have a diagnosis included in the ICD-10 as appropriate to date of

service (other than primary developmental/intellectual disabilities, autism spectrum disorder, organic mental disorder, traumatic brain injury, or substance abuse), impaired role functioning, and a documented lack of capacity for independently accessing, and sustaining involvement with needed services.

(30) Individual Case Managers must meet the following minimum qualifications:

(a) A Bachelor of Arts or Bachelor of Science degree, or

(b) A registered nurse, and

(c) Training in a case management curriculum approved by the Alabama Medicaid Agency.

(d) ADMH case management provider (for Target 10, ASD) must will be either Regional Boards incorporated under Act 310 of the 1967 Alabama Act who have demonstrated ability to provide targeted case management services directly, or be ADMH employees, -the Alabama Department of Mental Health (AMDH) or other contractors of ADMH. Providers must be certified by ~~the Alabama Department of Mental Health~~ ADMH and provide services through a contract with ADMH. Act 310 provides for the formation of public corporation to contract with ~~the Alabama Department of Mental Health~~ ADMH in constructing facilities and operating programs for mental health services. A 310 Board has the authority to directly provide: planning, studies, and services for mental illness.

(e) ADMH case management provider (for Target 10, SED and SMI) must be either Regional Boards incorporated under Act 310 of the 1967 Alabama Act who have demonstrated ability to provide targeted case management services directly or be ADMH employees. Providers must be certified by ADMH and provide services through a contract with ADMH. Act 310 provides for the formation of a public corporation to contract with ADMH in constructing facilities and operating programs for mental health services. A 310 Board has the authority to directly provide: planning, studies and services for mental illness.

(31) Case Managers for ~~Autism Spectrum Disorder (ASD) Children, Serious Emotional Disturbance (SED) Children, and Severely Mentally Ill (SMI) Adults~~ must be certified as a Medicaid provider meeting the following criteria:

(a) Demonstrated capacity to provide all core elements of case management:

1. Assessment
2. Care/services plan development
3. Linking/coordination of services, and
4. Reassessment/follow-up

(b) Demonstrated case management experience in coordinating and linking such community resources as required by the target population.

(c) Demonstrated experience with the target population.

(d) An administrative capacity to ~~insure~~ensure quality of service in accordance with state and federal requirements.

(e) A financial management system that provides documentation of services and costs.

(f) Capacity to document and maintain individual case records in accordance with state and federal requirements.

(g) Demonstrated ability to assure a referral process consistent with Section 1902a(23), freedom of choice of provider.

(h) Demonstrated capacity to meet the case management service needs of the target population.

(32) Discriminatory Practices - Any practice prohibited by Title VI of the Civil Rights Act of 1964 (Federal law that prohibits discrimination in supplying services to recipients on the basis of race, color, creed, national origin, age, or sex) or Section 504 of the Rehabilitation Act of 1973 (the Federal law that prohibits discrimination in the supplying of services to recipients on the basis of a handicap). All providers must comply with these requirements to prevent discriminatory practices.

(33) Third Party - any individual, entity or program other than the recipient or his/her responsible party that is, or may be, liable to pay all or part of the cost of injury, disease, or disability of an applicant or recipient of Medicaid.

(34) Fiscal Agent - an agent under contract with Medicaid to receive and adjudicate Medicaid claims.

(35) Medicaid - The Alabama Medicaid Agency.

~~(36) DMH - The Alabama Department of Mental Health.~~

~~(37)~~(36) CMSP - Case management service provider.

~~(38)~~(37) Noninstitutional Provider Agreement - the contract between a CMSP and Medicaid that specifies conditions of participation, funding arrangements, and operating mechanisms.

~~(39)~~(38) Individual Plan of Care for All Target Groups - a document developed by the case manager listing the client's needs for service and assistance consistent with Rule No. 560-X-40-.03.

~~(40)~~(39) Collateral - the case manager working with the Medicaid-eligible client, immediate family and/or guardians; Federal, State, or local service agencies (or agency representatives); and local businesses.

~~(41)~~(40) _____ Medicaid-eligible - persons eligible for Medicaid services under the Alabama State Plan as evidenced by a current, valid, Medicaid card.

~~(42)~~(41) _____ Regional 310 Boards - mental health boards established pursuant to Sections 22-55-1 through 22-51-14, Alabama Code, 1975 (Act 310,1967).

~~(43)~~(42) _____ Total Care Environments - ICF/MR facilities, ICF/MR 15-bed or less nursing facilities, residential programs, and hospitals.

Author: Latonda Cunningham, Administrator, Managed Care Division

Statutory Authority: Section 1915 (g), Social Security Act; State Plan for Medical Assistance, Attachment 3.1-A, Supplement 1; OMB NO: 0939-0193.

History: Rule effective July 12, 1988. **Amended:** Effective November 10, 1988; April 17, 1990. Emergency rule effective June 1, 1990. **Amended:** Effective October 13, 1990; December 12, 1991; October 13, 1992; January 13, 1993; June 14, 1994; May 11, 1998; February 10, 1999; March 12, 2001; May 16, 2003; September 15, 2003; May 14, 2004. **Amended:** Filed March 13, 2012; Effective April 17, 2012. **Amended:** Filed October 12, 2018; effective November 26, 2018. **Amended:** Filed October 11, 2019; Effective December 15, 2019. **Amended:** Filed March 20, 2020.